



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/674,374	09/30/2003	John W. Stafford	4420-A1	5203 /
29370	7590	11/28/2005		
ROBERT A. PARSONS 4000 N. CENTRAL AVENUE, SUITE 1220 PHOENIX, AZ 85012				
EXAMINER PETKOVSEK, DANIEL J				
ART UNIT		PAPER NUMBER		
2874				

DATE MAILED: 11/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/674,374

Applicant(s)

STAFFORD ET AL.

Examiner

Daniel J. Petkovsek

11/18/05

Art Unit

2874

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on election filed September 29, 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) 26-28 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on September 30, 2003 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 9/30/03
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

This office action is in response to the election filed September 29, 2005. The amendments to the specification have been acknowledged. Claims 1-28 are pending, with claims 1-25 under examination.

Election/Restrictions

1. Applicant's election with traverse of group II, claims 26-28 in the reply filed on September 29, 2005 is acknowledged. There were no specific grounds of traversal given by the Applicant. This is not found persuasive because specific grounds of the traversal must be given in order to persuade the Examiner that the original restriction (mailed September 14, 2005) was improper.

The requirement is still deemed proper and is therefore made **FINAL**.

2. Claims 26-28 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected group, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on September 29, 2005.

Information Disclosure Statement

3. There were no prior art documents submitted by Applicant in the Information Disclosure Statement filed on September 30, 2003. Applicant is reminded that, in the future, an IDS form is *not necessary* if there are *no prior art references* submitted herewith. The Examiner has included an initialed copy of form PTO-1449 indicating that Applicants are unaware of any information material to examination or patentability of the present case.

Drawings

4. New corrected drawings in compliance with 37 CFR 1.121(d) are required in this application because the drawings are informal with hand-drawn Figure and Reference numbers. The corrected drawings are required in reply to the Office action to avoid abandonment of the application. The requirement for corrected drawings will not be held in abeyance.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1, 2, 9, 13, and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Tabuchi U.S.P. No. 5,764,832.

Tabuchi U.S.P. No. 5,764,832, teaches (Figs. 20, 21; column 15, line 31 through column 16, line 18) a high speed data interconnect apparatus comprising: a stiffening plate 1a with an elongated optical fiber mounting groove 2a defined on a surface thereof; a length of optical fiber 7 with first and second opposed ends defining an optical path, the optical fiber 7 being mounted in the groove 2a; a reflecting surface positioned adjacent to direct light at an angle of approximately ninety degrees; a laminate layer(s) 1b/3e encasing the stiffening plate 1a and the optical fiber 7 and including a light via for passage of light reflected by the first reflecting surface, and first bond pads 5 formed on a surface of the laminated layer(s) 1b/3e adjacent the

light via for the electrical connections of a light element 6a, which clearly, fully meets Applicant's claimed limitations.

Regarding claim 2, element 6a is a photodetector.

Regarding claim 9, the groove has a generally V-shaped cross-section (see figures).

Regarding claim 13, an approximately 45 degree mirrored end is located in the groove in optical alignment with the optical fiber 7.

Regarding claim 16, the modulus of elasticity is inherently smaller in the laminate layer than in the stiffening plate.

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 3-8, 10-12, 14, 15, and 17-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tabuchi U.S.P. No. 5,764,832, and further in view of Kosemura U.S.P. No. 6,330,377 B1.

Tabuchi U.S.P. No. 5,764,832, in regard to the narrowest claimed independent claim 18, teaches (Figs. 20, 21; column 15, line 31 through column 16, line 18) a high speed data interconnect apparatus comprising: a stiffening plate 1a with an elongated optical fiber mounting groove 2a defined on a surface thereof; a length of optical fiber 7 with first and second opposed ends defining an optical path, the optical fiber 7 being mounted in the groove 2a; a first reflecting surface positioned adjacent to direct light at an angle of approximately ninety degrees; a laminate

Art Unit: 2874

layer(s) 1b/3e encasing the stiffening plate 1a and the optical fiber 7 and including a first light via for passage of light reflected by the first reflecting surface, and first bond pads 5 formed on a surface of the laminated layer(s) 1b/3e, and a photo detector 6a (also see column 21, lines 15-20 for alternate use of an emitter/laser/etc.) mounted on the surface of the laminate in communication with the light via.

Tabuchi '832 does not explicitly teach that the module can be arranged in the form of having an emitter and receiver *both* in the ninety-degree configuration (Applicant's Figure 1).

Kosemura U.S.P. No. 6,330,377 B1 teaches (ABS; Figure 6; column 11, lines 1-63) a high speed data interconnect apparatus having a configuration with both an emitter 12a and a detector 12b, that send optical signals through vias 14a/14b, which are reflected off of micro-mirrors into (and out of) the waveguide 22, in which laminate layers 10a-10d are encasing the (planar) waveguide.

Since Tabuchi '832 and Kosemura '377 are both from the same field of endeavor, the purpose disclosed by Kosemura '377 would have been recognized in the pertinent art of Tabuchi '832.

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to realize the optical apparatus of Tabuchi '832 in the format disclosed by Kosemura '377 for the purpose of transmitting and receiving an optical signal by use of a laser and a photodetector in a U-shaped configuration for efficient optical coupling through a substrate/groove structure.

It is noted that official notice is taken as to the type of optical emitter/receiver that is used. Any well-known type of emitter and/or receiver can be used in the system, and would

Art Unit: 2874

have been recognized as obvious to a person having ordinary skill in the art at the time the invention was made. The Applicant has not stated any criticality as to the exact type of emitter and/or detector.

Regarding broader independent claim 23, the combination of Tabuchi '832 and Kosemura '377 teaches the broader claimed limitations (in comparison to narrower claim 18).

Regarding claims 3 and 4, the second reflecting surface with an emitter to a detector is disclosed in the combination rejection provided above.

Regarding claims 5 and 6, the specific composition of a "stiffening plate" would have been an obvious modification to a person having ordinary skill in the art at the time the invention was made. A person having ordinary skill in the art would have recognized that a "stiff" material would have been desirable in order to remain "stiff" during operation, and nickel iron/Teflon are "stiff" structures.

Regarding claims 7 and 8, the cross-section of the groove is an obvious design choice of the optical apparatus. A person having ordinary skill in the art would have recognized rectangular and/or v-groove for the groove shape. Applicant has not stated any criticality of having a particular groove shape, since each would function as equivalents.

Regarding claims 10, 11, and 14, the type of reflecting mirror and placement of the optical components (emitter) are obvious design choices of the optical apparatus. A person having ordinary skill in the art would have recognized a number of well-known reflectors, such as fiber reflectors and other 45 degree reflecting mechanisms. Applicant has not stated any criticality of having a particular reflecting surface, since each would function as equivalents.

Regarding claim 15, attaching a PCB to the device of Tabuchi '832 would have been inherent, and adding laminate to cover and protect the PCB would have been obvious to a person having ordinary skill in the art at the time the invention was made. Laminate is well known in the art to protect optical/electrical components, and improving optical performance by eliminating sources of error from the system.

Regarding claims 19 and 24, the modulus of elasticity is inherently smaller in the laminate layer than in the stiffening plate. Regarding claims 20 and 25, a thickness of the laminate can be shown to be up to two times the thickness of the stiffening plate, further in view of Kosemura '377 (see Fig. 6).

Regarding claim 21, a V-groove shape is disclosed by Tabuchi '832.

Regarding claims 12 and 22, further in view of Kosemura '377, there are 45 degree micro-mirrors that reflect an optical signal incoming to the waveguiding region.

Inventorship

9. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).


Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure, with respect to the state of the art of fiber groove components: PTO-892 form references C-E.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel J. Petkovsek whose telephone number is (571) 272-2355. The examiner can normally be reached on M-F 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rodney Bovernick can be reached on (571) 272-2344. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Daniel Petkovsek
November 22, 2005


AKM ENAYET ULLAH
PRIMARY EXAMINER